



Leading Your Business Transformation

A background image showing silhouettes of business professionals in a modern office setting, overlaid with a dark blue horizontal band. On the right side of this band, there are three white gear icons of varying sizes, partially overlapping each other.

## ISO 37001 Lead Auditor

Fifalde Consulting Inc.  
+1-613-699-3005

## 1. Course Description:

Bribery is a phenomenon that has plagued the private and public sector for a long time now. If this problem is not uprooted, economic development, or development in a general sense, is almost impossible. To address bribery and help organizations keep processes in check, ISO has published the ISO 37001 standard, which outlines the requirements for the implementation of an anti-bribery management system (ABMS). PECB training courses, on the other hand, provide you with the methodology – in a very practical sense – needed for implementing and auditing an ABMS.

The PECB Certified ISO 37001 Lead Auditor training course provides the necessary knowledge and skills that enable you to perform anti-bribery management system (ABMS) audits by applying widely recognized audit principles, procedures, and techniques.

Many organizations seek competent auditors to determine whether the policies and anti-bribery controls, implemented as part of an ISO 37001-based ABMS, are effective. This training course aims to help you complete these tasks successfully and intends to reflect the importance of effective ABMS audits. Additionally, it aims to strengthen your knowledge and skills to plan and carry out ABMS audits in compliance with the guidelines for auditing management systems provided in ISO 19011 and the certification process described in ISO/IEC 17021-1.

## 2. Audience:

- Auditors seeking to perform and lead ABMS audits
- Managers or consultants seeking to master the ABMS audit process
- Individuals responsible for maintaining conformity to ISO 37001 requirements in an organization
- Technical experts seeking to prepare for an ABMS audit
- Expert advisors in anti-bribery management

## 3. Learning Objectives:

By the end of this training course, the participants will be able to:

- Explain the fundamental concepts and principles of an anti-bribery management system (ABMS) based on ISO 37001
- Interpret the ISO 37001 requirements for an ABMS from the perspective of an auditor
- Evaluate the ABMS conformity to ISO 37001 requirements, in accordance with the fundamental audit concepts and principles
- Plan, conduct, and close an ISO 37001 compliance audit, in accordance with ISO/IEC 17021-1 requirements, ISO 19011 guidelines, and other best practices of auditing
- Manage an ISO 37001 audit program

## 4. Prerequisites:

The main requirements for participating in this training course are a fundamental understanding of ISO 37001 requirements for an ABMS and a comprehensive knowledge of audit principles.

## 5. Course Materials:

You will receive the following as part of this course:

- A copy of the classroom presentation material
- A 450-page study handbook containing information and practical examples

## 6. About the Examination:

The PECB Certified ISO 37001 Lead Auditor exam fully meets the requirements of the PECB Examination and Certification Program (ECP). It covers the following competency domains:

<b>Domain 1</b>	Fundamental principles and concepts of an anti-bribery management system
<b>Domain 2</b>	Anti-bribery management system (ABMS) requirements
<b>Domain 3</b>	Fundamental audit concepts and principles
<b>Domain 4</b>	Preparing an ISO 37001 audit
<b>Domain 5</b>	Conducting an ISO 37001 audit
<b>Domain 6</b>	Closing an ISO 37001 audit
<b>Domain 7</b>	Managing an ISO 37001 audit program

The course is provided over 4 days and the exam can be taken online following the completion of the course.

## 7. Course Agenda

### Day 1 | Introduction to the anti-bribery management system (ABMS) and ISO 37001

- Training course objectives and structure
- Standards and regulatory frameworks
- Certification process
- Fundamental concepts and principles of anti-bribery
- Anti-bribery management system

### Day 2 | Audit principles and the preparation for and initiation of an audit

- Fundamental audit concepts and principles
- The impact of trends and technology in auditing
- Evidence-based auditing
- Risk-based auditing
- Initiation of the audit process
- Stage 1 audit

### Day 3 | On-site audit activities

- Preparing for stage 2 audit
- Stage 2 audit
- Communication during the audit
- Audit procedures
- Creating audit test plans

### Day 4 | Closing of the audit

- Drafting audit findings and nonconformity reports
- Audit documentation and quality review
- Closing of the audit
- Evaluation of action plans by the auditor
- Beyond the initial audit
- Managing an internal audit program
- Closing of the training course

Fifalde Consulting Inc. is a trusted independent advisor, helping organizations maximize efficiencies and increase value

to their IT services. We specialize in the delivery of Information Technology Service Management (ITSM) and Information Security Management (ISM) consulting and training services, using best practices such as the Information Technology Infrastructure Library (ITIL®), TIPA®, TOGAF®, and standards such as ISO/IEC 20000, 27001, 38500 and others. Fifalde's team includes a network of the most accredited consultants and trainers in the IT industry.

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This training is certified by PECB.



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